
**Annual Report and
Financial Statements
2009–2010**

wates
foundation



Registered Charity Number 247941
Company Number 1605766

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Company Registration

Company number: 1605766. The registered office of The Wates Foundation Limited is Wates House, Station Approach, LEATHERHEAD, Surrey KT22 7SW. Company Secretary is Mr Brian Wheelwright.

Charity Registration

Charity registered number: 247941. The business address of The Wates Foundation is Wates House, Station Approach, LEATHERHEAD, Surrey KT22 7SW. The Director is Mr Brian Wheelwright.

Investment Managers

Morgan Stanley & Co International plc
25 Cabot Square
Canary Wharf
LONDON E14 4QA

Royal London Cash Management Limited
55 Gracechurch Street
LONDON EC3V 0UF

Morgan Stanley is authorised and regulated
by the Financial Services Authority

RLCM Limited is authorised and regulated
by the Financial Services Authority.

Aberdeen Asset Management
Bow Bells House
1 Bread Street
LONDON EC4M 9HH

Aberdeen Asset Management is authorised and regulated by the Financial Services Authority.

Financial Advisers

Jewson Associates
12-14 Mason's Avenue
LONDON EC2V 5BB

Jewson Associates is regulated by the Financial Services Authority.

Bankers

Charities Aid Foundation Bank Limited
25 King's Hill Avenue
King's Hill
West Malling
Kent ME19 4JQ

Auditors

Sayer Vincent
Angel Gate
City Road
LONDON
EC1V 2SJ

Memberships

The Wates Foundation is a subscribing member of the Association of Charitable Foundations, Foundations Forum, the London Funders and CLINKS.

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Introduction

The Trustees who are also the Directors of the corporate trustee have pleasure in presenting their Report together with the financial statements of The Wates Foundation charity for the year ended 31 March 2010.

Legal and administrative information set out on pages 3-13 forms part of this Report. The financial statements comply with current statutory requirements, the Trust Deed, applicable law and Statement of Recommended Practice: Accounting and Reporting by Charities 2005.

Structure, Governance & Management

The Wates Foundation is an unincorporated registered charity no 247941. It is managed by a corporate trustee which is The Wates Foundation Limited, registered company no 1605766.

In September 2008, members of the Ronald Wates Family, owners of the Wates Group, set up a new incorporated charity, The Wates Family Enterprise Trust, registered charity no 1126007, registered company no 6648896. During the year, The Wates Foundation had one trustee and shared staff resources in common with the Trust. For all legal and operational purposes, the Foundation and the Trust are totally separate and independent entities.

The Foundation and the Trust share a Secretariat that operates as Wates Family Charities. Its Director is Mr Brian Wheelwright. The Foundation and Trust's offices are co-located within premises occupied by a company owned by some members of the Wates Family. During the year rent was charged at the prevailing commercial rate.

Directors of the Trustee Company

The Articles of Association of the Trust provide for the recruitment of new Directors of the Trustee Company, who also serve as Trustees of The Wates Foundation, only from the families of the natural heirs to the Founders of the charity. The Directors affirm that the numbers of those eligible for election is sufficiently large to provide diversity of interest, ability and experience in fulfilling duties as Trustees of The Wates Foundation.

The Annual General Meeting (AGM) of the Trustee is held in July each year. Directors retire and are elected at the AGM under the terms of the company's Articles of Association.

In addition to the Annual General Meeting of the Trustee Company, the Directors, constituted as the Trustees of the Foundation, meet at least three times in each financial year generally in July, November and March to set and review the strategies and policies under which the Foundation operates and to make new grant awards.

The Directors have adopted a Job Description that sets out their duties and responsibilities as Trustees. An additional Job Description has been adopted for the post of Chairman of the Boards of Directors and Trustees. Terms of Reference have been adopted for a Finance Committee. The Directors have resolved to undertake a formal evaluation of their performance as Trustees at least every two years.

Other than the Directors and other members of the Wates Family, who all give their time freely both for meetings and for visits, the Foundation has no dependence on volunteers, donated facilities, donated services, other donations in kind or other intangibles.

Directors & Trustees 2009-2010

The Directors of The Wates Foundation Limited who also served as Trustees of the Wates Foundation during the year were:

Rev John Wates (Chairman). A qualified solicitor. Served as a magistrate in Croydon for 21 years where he was Vice Chairman of the Youth & Family Panels. Formerly a Vice Chairman of the Association of Charitable Foundations. Founder and currently Chairman of Trustees of Community Options, a charity delivering mental health services in four London boroughs. Trustee of the Butler Trust and of the Rehabilitation for Addicted Prisoners Trust. Member of the Council of Management of the Philharmonia Orchestra and in other roles. Trustee of the London Chamber Orchestra. Honorary Fellow of the Royal Academy of Music. Honorary Life member of the Association of Charitable Foundations and Fellow of the Royal Society of Arts. Ordained as a Non-Stipendiary Minister in the Church of England in 2002.

Mrs Jane Wates OBE. Has a particular interest in social problems, especially those concerned with disadvantaged young people. Trustee of the Berkshire Community Foundation. Trustee of the Oxfordshire Community Foundation. Active involvement in various other charitable bodies. Founding Trustee of the Elizabeth Jane Foundation that is currently working with projects for AIDS orphans in South Africa and with the young at risk.

Mrs Annabelle Elliott. Full-time wife and mother with a long standing commitment to her local community. Supports a number of groups whose focus is children. Special interest in children with learning disadvantages and disabilities.

Mr Nick Edwards. Law student at Birkbeck College. Worked for some years with the Social Service departments of the London Boroughs of Tower Hamlets and Wandsworth, London. Has a particular interest in helping young people furthering their potential.

Mr James Wates. Deputy Chairman Wates Group. Board member of the Construction Industry Training Board; member of the Confederation of British Industry Construction Council; Chairman of the UK Constructors Group; Honorary Treasurer of the British Council for Offices; Governor of Emanuel School; Trustee of the Queen Elizabeth Foundation for Disabled People; member of the London Council of the Prince's Trust; member of the London Skills & Employment Board; Commissioner on the UK Commission for Employment & Skills; Vice President of the Chartered Institute of Building; Chairman of the Industry Training Board, CITB-ConstructionSkills

Mr Christopher Agace. Managing Director of Chalk Hill Contemporary Homes. Formerly a practising barrister with experience of family breakdown, debt and housing amongst other issues.

In July 2010, the following will retire as Trustees: Rev John Wates, Mrs Jane Wates, Mr James Wates and Mr Nick Edwards. New members of the Board will be: Mr William Wates (Chairman); Mrs Emily King; Mrs Kate Minch; and Mr Richard Wates. All are direct descendants of the Foundation's Founders.

Committee Structure

The Trustees conducted a major Next Steps review of the Foundation's processes and structure in 2008. Its object was to identify the most appropriate form that the Foundation should adopt from 2010 when the last children of Founders' generation retire as Trustees.

Underpinning the review was a determination to develop an organisation that reflected the growing involvement in the Foundation's grant making of members of the third and fourth generations of the Wates Family. The final format was influenced by a desire to promote greater personal involvement and individual philanthropy amongst these Wates Family members. This approach also implied a wish to support causes in the localities in which they live; many now live outside the Greater London area in which the Foundation's programmes have been focused since 2000.

The restructuring process was completed by November 2008 when the Trustees approved a new structure of three grants committees representing the Norman, Ronald and Allan Wates branches of the Family. Membership of the Family committees is drawn from a broader representation of the younger generations of the Wates Family than was previously represented on the higher level Grants Committee; for example, for the first time, spouses are eligible to be members of the Family Committees. Each Family Committee includes the two elected Family branch trustees, has an elected chairman and agreed governance procedures. All governance arrangements of the new committees are endorsed by the Trustees.

The Trustees have delegated powers to these Committees to make new awards within an agreed allocation of annual spend. The Trustees have also delegated powers to make small awards (not greater than £5,000) to the committee chairmen, with oversight and endorsement by the respective committees. Minutes of Family Grants Committees are circulated to the chairmen of the other committees for information.

The new Committee structure had its first full operational year in 2009-2010. The Trustees believe that the new structure has been extremely successful. Not least, it has provided a strong and dynamic opportunity for members of the next generations of the Wates Families to develop their own philanthropic style and interests; to be able to sponsor issues that excite them; and take ownership both of the grant making process and the decision making.

Related Interests

It is the Trustees' policy to encourage active involvement in the voluntary sector by their peers and the Wates Family generally. However, where a Trustee or a member of the Wates family serving on a Grants Committee has an interest as a trustee or otherwise in an external body applying for funds from the Foundation, then that person is excluded from the decision-making process.

The Foundation maintains a register of the business interests of members of the Wates Family and staff that take part in the Foundation's philanthropic activities.

The Trustees note for the record that Mr Luke Wates, son of the Chairman of Trustees, is an employee of Morgan Stanley International Limited, the parent company of Morgan Stanley Private Wealth Management, the Foundation's Investment Managers during the year. Mr Luke Wates was a member of the Norman Wates Family Grants Committee, but played no part in the conduct of the Foundation's financial affairs during the year.

Training & Development

The Foundation's Trustees have identified training and development as a key area within the Foundation's Strategic Plan. Their objective is to ensure that members of the Wates Family associated with the work of the charity and the Foundation's staff have the relevant skills, competences, knowledge and understanding that they need to deliver the Foundation's mission effectively. The long term aim is to sustain and improve the overall performance of the Foundation and its grant making.

The Trustees have adopted five objectives that classify training and development activity in which the Foundation engages. These cover formal training, learning experiences and knowledge development that contribute to or impact on the ability of the Foundation to deliver its mission and related objectives. The objectives are:

- **Social and Public Policy & Practice:** Knowledge and understanding of the contemporary and potential issues facing society deriving from government policy, public opinion or other initiatives that may impact on the role and operations of the Foundation as a grant maker.
- **Programme Areas:** Knowledge of those issues relevant to the delivery of the Programme areas and their objectives and priorities set out in the Foundation's current strategy against which potential beneficiaries are invited to apply for funding.
- **Governance:** Knowledge, skills and competences around charity regulation, policy, and best practice.
- **Finance:** Knowledge and competences around investment management, market trends and economic conditions.
- **Philanthropy:** Understanding of the issues and practices relevant to the delivery of philanthropic endeavours, particularly by family trusts.

Funds are earmarked for training annually. The cost of training for Trustees and staff this year was £5,178 (£6,342 in 2008-2009). This figure includes £2,404 in training fees, but takes no account of a substantial amount of training at nil cost.

New Trustees are inducted by gaining experience as members of the Foundation's Grants Committee, taking part in the grant assessment process and related visits, and in a programme of visits to relevant organisations and institutions. New Trustees also undertake formal training conducted by bodies such as the Association of Charitable Foundations.

Quality Mark

During the year, the Trustees committed to seeking re-accreditation for the Investors in People quality mark that the Foundation has held since 2004. Following assessment in which representatives of all parts of the Wates Family and the Secretariat took part, the Foundation was re-accredited to 2013.

Object of the Foundation

Under the Trust Deed the charitable purposes or activities for which the Trust Fund (the fund), together with the income that derives from it, may be disbursed through The Wates Foundation are those that the Trustee, The Wates Foundation Limited, may direct at its discretion.

The general charitable purposes, aims and policies of The Wates Foundation are reviewed regularly by the Trustees and published in the Foundation's Guidance for Applicants and elsewhere.

Objectives and activities for the public benefit

Since its inception the Foundation's primary purpose has been to promote beneficial change through a philanthropic ethos summarised in the following statements:

Vision

The Wates family and Trustees of The Wates Foundation believe that the quality of life in society can be improved for the public benefit by informed and independent intervention to alleviate distress, deprivation and social exclusion.

Mission

To harness the generosity of the Founders to the enthusiasm and knowledge of the Wates family and present Trustees to improve for the public benefit the quality of life of the deprived, disadvantaged and excluded in the community in which we live.

The Trustees seek to accomplish the Mission by making financial awards and other support to those charitable activities with outcomes that have the potential to impact on society for the public benefit.

When reviewing the Foundation's aims and objectives, devising new programmes and setting their grant making policy for the year, the Trustees confirm that they have referred to the guidance contained in the Charity Commission's general guidance on public benefit and complied with their duty under Section 4 of the Charities Act 2006.

The Foundation's Guidance for Applicants are amended regularly and with other information is available at www.watesfoundation.org.uk.

Grant Making

Programmes & Objectives 2009-2010

The Trustees reviewed and restructured the Foundation's grant making programmes in 2008-2009 as part of the Next Steps strategic review. As a result, there are still a number of scheduled payments being made relating to awards under former programmes and from the transition period between the Trustees' Grants Committee and the Family Grants Committee structures.

During the year, new awards were made in line with the objectives set out in the programmes described below. Their overall aim is to improve the lives of those in society who are distressed, deprived or disadvantaged through exclusion, in order to bring about a lasting benefit rather than make their condition more bearable.

The programmes are:

Building Family Values. To promote and reinforce the role of the family unit as a safer more caring environment, fostering social and civic values, responsibility and behaviour, and encouraging the young to take up opportunities for education, training and employment.

Community Health. To provide avenues for an improved quality of life for those people with addictions, those disadvantaged by reason of mental or physical disability and their carers, and those who are living less healthy or active lives by virtue of age or infirmity.

Safer Communities. To promote initiatives contributing to the reform of the criminal justice system, a fairer and more appropriate penal system, the effective rehabilitation of offenders, particularly females, and the promotion of family contacts to reduce chances of re-offending on release.

Sustaining the Environment. To promote understanding of the needs of the built and rural environments to encourage conservation of resources, reduction of waste and dissemination of practical models of environmental sustainability.

Strengthening the Charitable & Voluntary Sectors. To contribute to the performance of the charitable and voluntary sector and its value to society by improving the quality and compliance of its infrastructure and effectiveness of its service delivery.

The Trustees have retained the existing proactive **Strategic Programme** which seeks to fund major pieces of work that will influence opinion, policy or practice, but in view of the pressure on the Foundation's funds are making fewer awards than previously.

In response to the continuing adverse financial conditions, the Trustees took action to limit the exposure of the Foundation to forward commitments of grant payments, whilst wishing to continue to support in some way the many hard pressed small charities that are the bulk of the Foundation's applicants. Firstly, the level of maximum award for Foundation grants was reduced from an average of £60,000 to £40,000 and then to £30,000. Secondly, the Foundation's web site was closed for new applications for a period of several months.

These decisions were reviewed at the last Trustees' meeting of the financial year, when it was agreed to re-open the web site to public applications from April 2010; and set a maximum level for new awards to successful applications from the web site at £30,000 payable over not less than three years.

Monitoring & Evaluation

The relevance, potential impact of outcomes and public benefit that a grant applicant proposes to achieve with the help of a Foundation grant are assessed against the Foundation's stated objectives. The monitoring and evaluation of outcomes remains the responsibility of the applicant.

The Trustees seek to encourage successful applicants to embrace best practice in monitoring and evaluation by encouraging the use of proven models such as PQASSO and accreditation to standards set by national and expert organisations. The Trustees select a number of successful applicants annually to receive a sum of money additional to the grant award to carry out an evaluation of the funded activity at the end of the three years of the grant. The Trustees believe that this approach adds to the sum of the Foundation's knowledge and experience and aids beneficiaries in assessing their own work.

Achievements

The Trustees and the Foundation's new Family Grants Committees each met on three occasions following the inception of the new structure, programmes and processes.

A total of 112 new awards were made valued at £2,870,393, including four awards valued at £190,000 under the Strategic Programme. In 2008 there were 62 awards valued at £2,102,481. Four awards were co-funded by the Ronald Wates Family Committee and the Grants Committee of the Wates Family Enterprise Trust.

The details and a full list of awards are covered in the Review of Awards elsewhere in this Report.

Financial Review

Audit

A number of recommendations in the 2008-2009 audit report were implemented during the year.

The Foundation's accounts for 2009-2010 have been audited and a statement has been included setting out the Trustee's responsibility in respect of the accounts and the Annual Report. The Trustees of the Foundation each declare that they have taken the necessary steps to ensure that they are aware of all information relevant to the audit and that to the best of their knowledge there is no information of which the auditors are unaware.

A resolution will be proposed at the Annual General Meeting that Messrs Sayer Vincent be appointed as auditors to the charity for the following year.

Accounts

Financial statements for the year form part of this Annual Report. Full accounts have been lodged with the Charity Commissioners and Companies House. Additional copies of these are available on application to the Foundation's offices.

In addition to bank account interest and funds reclaimed from unexpended awards, income in the year consisted of funds drawn down from the investment portfolio to fund grant-making activities amounting to £3,268,000. This compares with £3,070,000 in 2008-2009.

The value of scheduled payments on was £3.18 million compared with £2.81 million in the previous year. Actual payments for the year were £3.09 million including accrued expenditure of £98,000. The difference between payments and liabilities this year of £91,500 represents 10 payments not made due to late reporting by beneficiaries.

Governance and support costs for the year were £293,861 compared to £303,415 in 2008-2009. The costs of the Foundation's Secretariat, including staff salaries, space rental and administrative charges, are shared under an agreement with The Wates Family Enterprise Trust.

Other than the changes in the recognised gains and losses for the year that reflect movement in stock market valuations, there are no material movements in the Statement of Financial Activities that require special explanation. There have been no revaluations other than investment valuation movements during this period.

No important events affecting the Foundation have occurred after the balance sheet date.

Investment Policy & Management

The Foundation is not financially dependent on any individuals, corporation or classes of donors. All assets are held to further the direct charitable expenditure of the Foundation for the public benefit. There are no specific restrictions imposed concerning the way in which the Trustee may operate.

The Foundation continued to implement the absolute return investment strategy adopted in October 2004. The Trustees held investment review meetings with Morgan Stanley Private Wealth Management on several occasions during the year. The reviews were conducted with independent financial advice from Jewson Associates.

The Trustees persisted with a number of precautionary measures introduced in the previous year to assure themselves of the best security of the Foundation's assets. These measures included: setting up a large, easily accessible cash reserve with an independent manager; a review of the strategic range for asset holdings within the portfolio; and exit from a hedge fund of funds.

A formal review of the investment manager's performance was conducted in March 2009 prior to the end of the current five-year investment strategy in September. Subsequently, the Trustees commissioned Jewson Associates to conduct a review of the Foundation's investment strategy with a view to appointing an investment manager in January 2010. Requests for proposals to manage the Foundation's assets were sent out in December 2009 and a short list of managers invited to a 'beauty parade' in February 2010.

Aberdeen Asset Management was appointed as the Foundation's investment manager on 22 February to take management of the portfolio from 31 March 2010. The cash reserve with an independent manager was liquidated on 15 March 2010.

Performance

The performance of the Fund has been reviewed against a blended strategic benchmark represented by MSCI World Equity Total Return Index (local), JP Morgan Global Government Bond Index (local), HFR Fund of Funds Index in US\$, GPR Global Listed Securities Index and JP Morgan UK 1 Month Libor Index. A separate benchmark, the JP Morgan Emerging Local Market Index, was used to measure the performance of Emerging Market assets held tactically.

A benchmark was set for the private equity asset class of MSCI World Equity +3% per annum to run from September 2009 over five-year rolling periods. The performance will be measured against other private equity funds of the same vintage year. The benchmark will be subject to periodic review.

As at 31 March 2010 the fund's investments were valued at £19.56 million compared with £20.15 million in 2008-2009. Due to the depressed market conditions in the year, the Foundation's core portfolio failed to meet its RPI+4.0% objective. In spite of this however, the Foundation's return was sufficient to maintain the end of year value of the Fund in line with the previous year's result, having made over £2.8 million of new awards.

The Directors confirm the Fund's Custodians during the year were Morstan Nominees Limited for investments with Morgan Stanley and Aberdeen Private Wealth Management Limited for investments with Aberdeen Asset Management.

Socially Responsible Investments

In consideration of the ethos that underpins the Foundation's grant making and having taken relevant advice on the impact of omitting particular assets from the Foundation's portfolio, the Trustees have resolved not to make direct investments in tobacco stocks on social, environmental and ethical grounds.

Programme Related Investment

The Trustees have deposits with *Charity Bank* and *Venturesome Fund*, foregoing any dividends that might accrue.

Conditional Liabilities

Since the inception of the Foundation it has been the Trustees' policy and practice to make all grants payable over a period longer than 12 months conditional on: funds being available to meet future scheduled payment commitments; the use of the grant for the purpose for which it is made; and satisfactory reporting on the progress of the activity and any relevant changes of circumstances by the beneficiary.

These Terms & Conditions are agreed in writing by the beneficiary organisation at the time of the grant award. It has been and remains the policy and practice of the Trustees to conduct an annual review of periodic grants at the end of each year to assess performance in order to authorise further payments as liabilities for the next financial year.

In 2009-2010 the Trustees complied with SORP requirements on conditional liabilities by carrying out a review of the performance of 62 current grants payable over more than one year, to assess their performance as a condition of releasing subsequent payments during the year. Eighty-two grants were completed in the year under review.

The Trustees authorised the commitment of sums for instalment payments for the three following financial years subject to the Foundation's available income and annual reviews to assess the performance of grants. As at 31 March 2009 provision of £91,500 is made in the accounts for accrued grant instalments authorised for payment, but falling in the next financial year.

Reserves

All grants are liabilities conditional on continued receipt of income and beneficiaries complying with the Terms & Conditions of grants. Each year the Foundation identifies the level of funds needed to meet grant commitments in the next financial year. The Trustees confirm that readily available assets within the expendable endowment of the Foundation constitute its reserves, and will be drawn upon to meet liabilities and other requirements as necessary.

Risk Analysis

The Trustees conduct a full analysis of the risks to which they believe the Foundation might be exposed every two years with a review in intervening years. A full review employing an impact scoring model was conducted in March 2007, an interim review was conducted in March 2008 and a financial review in March 2009.

The Trustees conducted a full risk analysis in March 2010 following the restructuring of the Foundation and in the light of the prevailing financial climate. The Trustees conclude and are satisfied that appropriate systems, procedures or measures are established to manage the risks identified.

Statement of responsibilities of the Trustee

The Trustee is required to prepare financial statements for each financial year, which gives a true and fair view of the state of affairs of the Foundation and of its incoming resources and application resources, including the net income or expenditure, for the period. In preparing those financial statements the Trustee is required to:

- Select suitable accounting policies and then apply them consistently.
- Make judgements and estimates that are reasonable and prudent.
- State whether applicable accounting standards and statements of recommended practice have been followed, subject to any material departures disclosed and explained in the financial statements; and
- Prepare the financial statements on the going concern basis, unless it is appropriate to presume that the Foundation will continue in operation.

The Trustee is responsible for keeping proper accounting records which disclose with reasonable accuracy at any time the financial position of the charity and which enables it to ensure that the financial statements comply with the Charities Act 1993. The Trustee is also responsible for safeguarding the assets of the charity and hence for taking reasonable steps for prevention and detection of fraud and other irregularities.

Directors of the Trustee have no beneficial interest in the Foundation.

By order of the Directors

B D WHEELWRIGHT
SECRETARY TO THE TRUSTEE

06 July 2010

This Report represents the first full year for the Wates Foundation of a new way of 'doing philanthropy'.

The year's grant-making was set against a generally recovering investment background. However, the overseas weighting of our portfolio meant that there was not the substantial 'bounce back' that one had read about in certain markets. We ended the year with pretty much the same asset base of £20M that we started with. This is, of course, after we had drawn down £3.3 million to make grants and run the Foundation.

Our five-year arrangement with Morgan Stanley came to an end in 2010 and the Trustees held a 'beauty parade' of a shortlist of managers drawn up in conjunction with Jewson Associates, our financial advisers. In the event, the Trustees decided to move the Foundation's funds to Aberdeen Asset Management. The Trustees are grateful to Morgan Stanley who had looked after the portfolio during some extremely difficult times.

The new Family Grants Committee structure got into full swing in 2009. As described elsewhere in this Report, Family Committees were able to make grants totalling £2.64 million, with the Trustees deciding, in view of the prevailing economic situation, to limit new activity in the Strategic Grants programme to under £200,000. The strategic awards have been a very important part of the Wates Foundation ethos; it is probably here that we have made most impact. It is hoped that this programme can be reopened in the future.

Our grant to the Prison Radio Association follows on from grants made many years ago to Feltham Young Offenders Institution. Prison Radio is obviously a vital communication tool within prisons, as well as encouraging media-related skills that can be useful on release.

Circles UK delivers intense therapeutic mentoring support to sex offenders. Our award to this charity follows in the tradition of making grants to 'unpopular' causes. These are often to do with issues that have a public, cultural or political sensitivity, such that even the bravest of mainstream funders is uncomfortable being associated with them. The Trustees of the Foundation have always believed that such issues are equally deserving of support as the more acceptable ones, if the spirit of philanthropy is to be genuinely embraced.

Again, our contribution to the follow-up to the Corston Report, on alternative approaches to female offenders, is just the latest in a line of similar awards going back to the very earliest ones made by the Founders of the Foundation. It is also another example of the recent trend for grant-makers to come together to make a bigger impact than they could make separately.

Finally, in the Strategic Programme the grant to the Royal Society of Arts towards its project to involve service users in the design of drug treatment takes up the 'recovery' theme that I believe could be a powerful influence in the future.

The Family Committee Awards are set out in detail in this Report. They very much reflect the ethos of the Foundation and the experience and interests of the next generation. These will, quite properly, develop in different ways to those of the retiring previous generation of the Wates Family. Times are changing and so will our grant making.

I have always enjoyed reading Reports from other Foundations and often thought: "I wish we had done that". Now, as Chairman of the Norman Wates Family Committee, I am delighted at what the other two Family Committees are funding. In particular, it is good that Community Interest Companies are being supported. New themes are appearing like Community Health in North Surrey and Sussex and a sustained interest in hard pressed estates in the Oxford area. Almost all the grants reflect the interests of individual family members. Whilst we still have applications via the website, we are a much more proactive Foundation than before. There is a greater spread of interests and geography than in the past and this bodes well for the future.

As I wrote last year, there is a new energy and commitment in the Foundation. The Family and its philanthropy are evolving. This is in part evidenced by the continuing growth of the Wates Family Enterprise Trust.

My cousin Jane and I both retire as Trustees in the summer of 2010. Jane has been a Trustee and involved in the Foundation in one way or another since 1985. She served as Chair from 2001 to 2007. I have been involved since 1991 and have served a previous term as Chairman. When we go it will mark the end of the Second Generation's direct involvement in the Foundation. We all hope that we have fulfilled the trust that has been shown in us. But we have every confidence in the 'rising stars'. I am very pleased that my nephew, William Wates, is taking over as Chairman of the Foundation. He has already been very involved in the Foundation's affairs and brings experience to the Foundation as a trustee of other charities.

Another source of confidence in the future lies in the fact that we have a great team working at the Foundation. Our Director, Brian Wheelwright, brings wide knowledge of the sector to our meetings. He still has undiminished energy and enthusiasm for the job. Inevitably, more and more work – in visiting for example – falls on the Secretariat. The tripling of the Committees and their far flung nature imposes considerable extra pressure on the home team. We are very fortunate to have Sheila Falconer and Jessica Straughen making significant contributions.

The future of the Foundation is in good hands. To coin a phrase – the Good Old Days lie ahead of us!

JOHN N WATES

CHAIRMAN OF TRUSTEES

06 July 2010

AWARDS 2009-2010

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ACHIEVEMENTS – REVIEW OF AWARDS

In 2009–2010, the Foundation made a total of 112 new awards valued at £2,870,393. A full list of the Foundation's awards during the year follows this report. All beneficiary organisations are either registered charities or registered community interest organisations. They share a common goal in that the work that they deliver is for the benefit of the public, addressing the needs of the distressed, deprived or disadvantaged.

There was a visible shift in the types of awards made this year. Historically, a large proportion of the Foundation's grants have gone to support the core costs of applicants; in some years these have been in excess of 60% of the total of awards by value. In 2008–2009 the level was lower at 40%, not least because our programmes closed over the period of our Next Steps restructuring review. This last year, however, the level of core costs awards dropped dramatically to just 22% by value.

On the other hand, it seems that the pressure of the economic downturn led many organisations to focus their applications on salaries, in order to retain experienced and highly valued staff. Our awards solely towards salaries rose from just 1% last year to 18% in 2009. Project-specific awards at 43% were largely in line with previous years (51%) as were capacity building awards at 8% (10%). Strategic awards comprised 7% of the whole.

Trustees Awards

The Foundation has often been a generous supporter of improvements to the infrastructure of the voluntary sector. This may be either through direct capacity building awards to charities or to second tier organisations that provide services of training, mentoring or other forms of learning. The Trustees added The Cranfield Trust to our portfolio of award winners this year with a grant that enables the Trust to give priority in its support services to Foundation beneficiaries. The Trust joins Charities Evaluation Services and the London Advice Services Alliance (LASA) both of which have similar arrangements with us.

The Trustees have supported the pioneering work of the Croydon Family Justice Centre on a number of occasions since its inception, where a range of charities combine to offer a multi-faceted service to victims of domestic violence and their families. To reinforce the success of the post set up by an earlier Wates award, the Trustees have made a new contribution to the salary of the front line Health Worker.

Strategic Programme

The Trustees supported four pieces of work this year that have strategic potential for the voluntary sector with awards valued at £190,000. The first was an award of £50,000 to the Prison Radio Association towards the costs of establishing a national radio station serving every prisoner in England and Wales. NOMS expects to have the radio service installed across 30 prisons by the end of April 2010.

The second award, of £89,750 to Circles UK, was agreed in principle last year, but only came to fruition this year. Circles UK specialises in intense therapeutic mentoring support to sex offenders. Circles UK acts as the national infrastructure organisation for what are essentially local projects aligned to prisons regionally. By the end of 2009 there will be 48 Circles projects in UK. The award is towards strategic research to generate evidence on the UK Circles model; presently, the only Circles data relates to dated Canadian research that has only limited relevance to the UK experience.

In 2007 the Foundation sponsored a Royal Society of Arts Commission looking at attitudes and practice around drug use in communities. The report made a significant contribution to the drugs debate and was widely acclaimed in UK and overseas. The Foundation concluded that there are elements of the Report's recommendations that are worthy of field testing. Consequently, the Trustees made an award of £70,000 towards a user-focused trial of service access conducted by the Drug & Alcohol Action Team in West Sussex. Additional funding has come from the RSA and Tudor Trust. The trial aims to find alternative paths to treatment for substance abusers other than through the criminal justice system.

The Foundation has a long record of supporting work to benefit women in the criminal justice system. We were therefore pleased to be an early contributor to the Corston Independent Funders Coalition of funders which is committed to seeing the recommendations of Baroness Jean Corston’s influential review put into practice. Last year we made a small award towards the infrastructure costs of setting up an advocacy post. However, the availability of a Ministry of Justice (MoJ) £1 million under-spend on its diversionary funding for women’s centres provided the opportunity for a more significant involvement in Corston work. Our award of £50,000 has contributed to the Consortium’s match funding of the MoJ money to create a £2 million Women’s Diversionary Fund, dedicated in three grant rounds to support work diverting women from offending.

Family Committee Awards

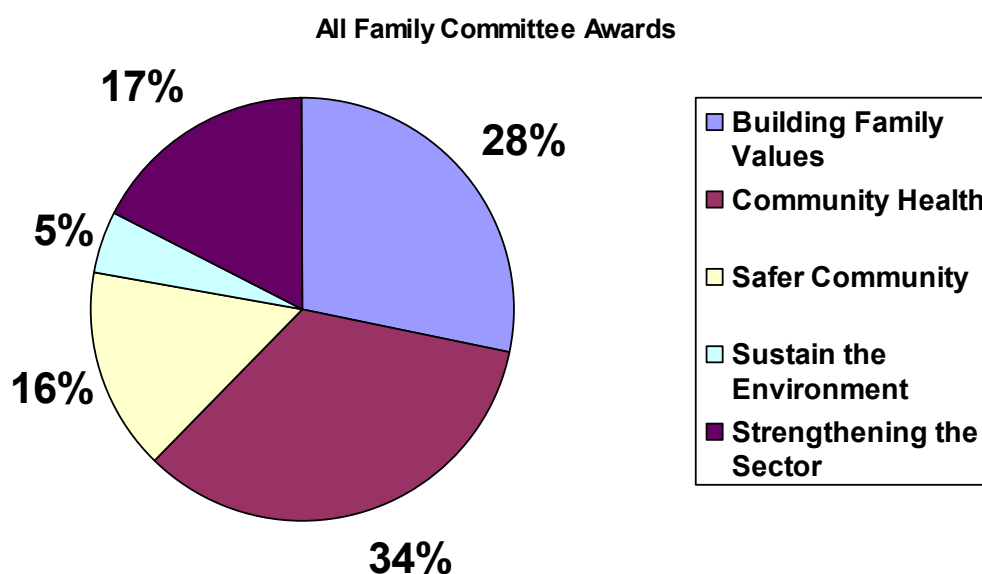
All the awards made by the three Family Grants Committees this year were under applications to the Foundation’s five main programmes: Building Family Values; Community Health; Safer Communities; Sustaining the Environment; and Strengthening the Charitable and Voluntary Sectors.

In all, the Family Committees made 106 awards valued at £2,645,393. The breakdown of the awards by programmes and by Family Committees is shown in the charts below.

The two most popular programmes were Community Health with new awards valued at £898,352 and Building Family Values at £747,941. Both of these reflect the Wates Foundation’s continuing commitment to benefit the ideals that form the building blocks of a healthy, just and informed society.

In keeping with the Foundation’s overall aspiration to build the effectiveness of organisations to enable them to deliver greater impact, awards to strengthen the voluntary sector amounted to almost £500,000 in the year.

The Safer Communities programme’s £432,697 in new awards reflects the transition of Wates awards from work purely inside prisons to that supporting the transition between custody and resettlement in the community. A particular emphasis of these awards is employment and training.



Although the Foundation does not take applications for support to work overseas, two awards sponsored by Wates Family committees were made to school projects overseas. These were the **Hope School** at Kurche in the Sudan and the **Baale Mane School** at Gopalapura in India, both of which members of the Wates Family had visited previously.

Norman Wates Family Committee

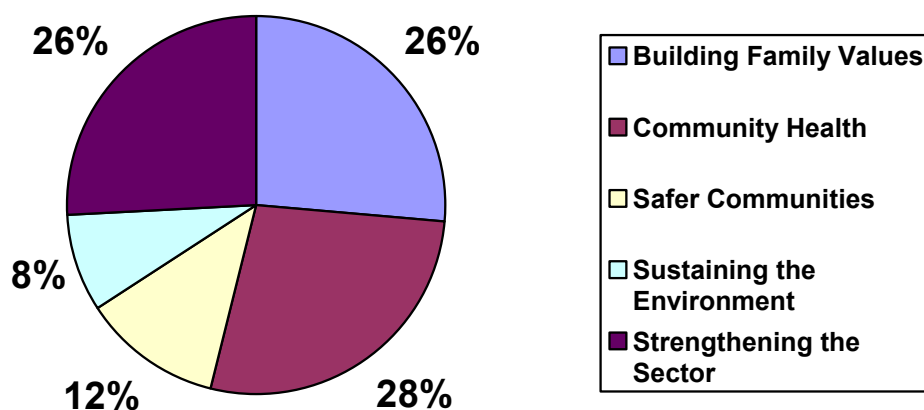
Awards by the Norman Wates Family Committee were focused in three main areas: Family Values, Community Health and strengthening of the voluntary sector.

Other awards under this theme went to **Dorset Reading Partners**, providing specialist reading support in schools, the **Catalyst Trust** to support skills training for mentors and **Volunteer Reading Help** to help the delivery of a new business plan for an expansion of services around literacy. In keeping with the Committee's support of arts-based approaches to addressing needs, an award was also made to the **London Chamber Orchestra** for its schools outreach programme in conjunction with Barnardo's.

Mental health featured several times in the Committee's support of Community Health including an award to **Sydenham Garden Community** which provides horticultural therapy to people suffering from mental ill-health. A more strategic award was made to **Social Spider**, a social enterprise promoting awareness in mental health through a quarterly magazine, One in Four.

In the Family Values programme, the Committee focused on education and training awards as a contributor to the foundations of an informed society. Beneficiaries included **Caboodle Theatre** in Education for the Mother Earth project; and **IntoUniversity**, which facilitates tertiary level education opportunities for children from disadvantaged backgrounds.

Norman Wates Family Awards



Other beneficiaries in the Community Health category were **REACT**, which provides domestic and medical equipment to families with terminally-ill children; the **Frank Barnes School for the Deaf** for a Home Communication Programme to support the teaching of British Sign Language in the homes of deaf children; and **Croydon Carers Centre** with whom the Foundation has had a relationship for many years.

Three other are organisations with a long-term relationship with the Foundation were also beneficiaries of the Committee's awards in the year. These were **Montage Theatre Arts** which has been going through some major restructuring changes to reflect its growing profile as a provider of modern dance in communities; **Southall Black**

Sisters who have also restructured to provide even better support to the causes of women in ethnic communities; and **London Citizens**, whose work has done so much to promote community-level democracy and champion the employment rights of lower-paid workers in the Capital.

Several awards from the Safer Communities programme are worthy of mention. The Committee has developed a strong connection **with HMP Downview** in Surrey. Two awards were made to organisations in this prison: one for the printing of booklets concluding the **Seeds of Forgiveness** project; and one to **Time for Families**, sustaining the excellence work that strengthens bonds between offenders and their families to improve chances of successful resettlement on release.

Finally, under Sustaining the Environment, which includes heritage and archive work, the Committee was able to confirm its award to a new organisation, the **Community & Youth Music Library**. After a particularly long gestation period, CYML will be the new custodian of the archive library of sheet music originating with the former London County Council music service and the bequest of the Alan Cave Trust. The new organisation will now be well placed to continue to support the work of scholars and loan requests from amateur music organisations nationwide.

Ronald Wates Family Committee

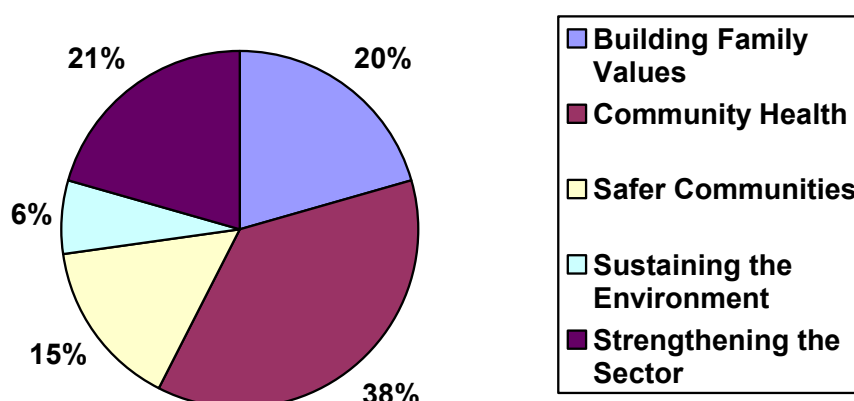
The main thrust of the Ronald Wates Family Committee has been Community Health, particularly in North Surrey and Sussex.

Notable awards in support of people with disabilities were made to **Axess Film** for work that enables peoples with disabilities to make virtual tours of UK’s heritage sites; the **Sussex Association for Spina Bifida**, and **DownsEd**, a centre pioneering language techniques for children with Down syndrome. The Committee also made the latest in a series of awards to the **Ebbisham Association**, which supports people with mental health difficulties, displaced from long stay psychiatric hospitals to care in the community in Surrey.

The Committee made a large grant to **Cool2Care**, a community interest company. The founder has combined his business expertise with his own family’s experience of a disabled child to set up a volunteer support service to families of disabled children.

Three medical units have benefited. At the **British Home & Hospital for Incurables** in Streatham, the Committee supported the costs of a sensory garden for residents. The **Princess Alice Hospice** in Esher had an award towards its day patient care service, while children in a number of hospitals will benefit from an award to the **Theodora Children’s Trust** that provides clown doctor visits to children’s wards.

Ronald Wates Family Awards



Long before the present public interest in the welfare of our Armed Services personnel, the Foundation was committed to projects supporting former members of the Services. The Committee's awards to **Combat Stress** and Gardening Leave reflect this on-going commitment. **Gardening Leave**, which provides horticultural therapy support to former Service personnel and also works in partnership with Combat Stress, was established with the help of the Wates Foundation in 2007. The current joint award with the Wates Family Enterprise Trust will help establish a permanent gardening centre in Stovehouse, Scotland.

The Committee was also pleased to make a large award to the charity **Forward**, which works to promote public awareness and change in relevant ethnic communities about the persisting practice of female genital mutilation.

Under the Sustaining the Environment programme, the Ronald Wates Committee made two important awards. The first was to the **London Playing Fields Association** which recently celebrated the 120th anniversary of its continuing work to sustain public sports grounds in London. From these facilities LPFA delivers projects for local communities. The second award was to the **10:10** campaign that seeks to bring about a ten percent reduction in carbon emissions in 2010.

The Committee made several important awards in the Safer Communities programme. The **Ashiana** Network in East London is a previous beneficiary of the Foundation for their residential services for victims of domestic abuse. This new award was in support of work in schools to raise awareness amongst younger people of domestic abuse.

Four awards went to organisations supporting offenders on release. Those to the **Apex Charitable Trust** and **Surrey Job Match** support offenders in the London and Surrey areas. The others, to **Startup Now for Women**, a new initiative in response to the recommendations in the Corston Report, and Working Chance, offer employment opportunities specifically to women offenders on release.

Finally in this section are a number of awards made by the Ronald Wates Family Committee to the programme that aims to strengthen the voluntary and charitable sector. Several were to second-tier organisations such as Lambeth Voluntary Action and Ealing Resource Centre to improve the effectiveness of the many small community organisations that are the life blood of the sector. The award to the Small Charities Coalition is more strategic in nature given its national remit. We have been particularly pleased to assist the Calthorpe Project at King's Cross in London develop its multi-purpose community services and facilities site.

Allan Wates Family Committee

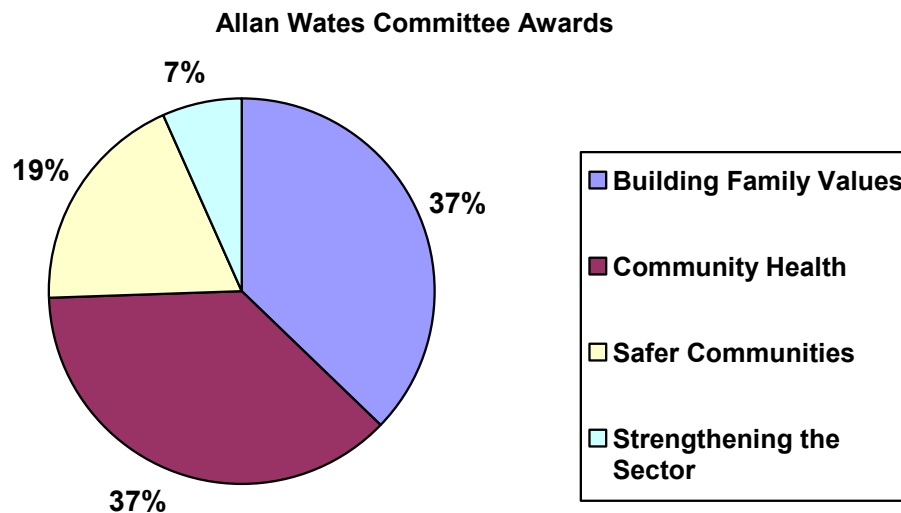
In common with the other Family committees, the Allan Wates Family Committee focused mainly on awards under the Family Values and Community Health programmes with Safer Communities awards coming in third.

The Committee's awards cover a broader geographical area than their peers with awards in Bristol & Avon, Gloucestershire, Oxfordshire, Berkshire and Buckinghamshire. A number of awards were also made in London.

Beneficiary organisations in the Bristol area include the **Ideal** charity's **Domino Effect** programme, run by former substance abusers for abusers; the **Jesse May Trust** that provides a dedicated home visit service for terminally-ill children, but also supports their siblings; **One25**, a previous Foundation beneficiary working with female workers in the sex industry; the **Wheels Project** which uses a graduated series of motor skills and safety training programmes to support young offenders and others involved in anti-social behaviour; and the **Avon Riding Centre**, one of the leaders in riding for the disabled in UK.

In Gloucestershire the Committee supported the work of Noah's Ark which provides short stay residential breaks to children from disadvantaged areas and those with disabilities. It also supported the **Fairshares** scheme operating in several prisons using the Time Bank model to support offenders and their families.

In Oxfordshire, the Committee continued its strong relationship with voluntary organisations on the Blackbird Leys and Rose Hill Estates; an award was made to **The Art Room** which offers high quality counselling to disadvantaged and troubled children through art work in schools. Work around literacy is represented with an award to **ARCh**. Other children’s charities benefiting include **Children in Touch**, which supports autistic children and their families, and the **Oxfordshire Parent Infant Project**. Two support schemes were funded: **The Listening Centre** that provides a community counselling service; and the eponymous **Oxfordshire Befriending Network**, an end of life project to help the terminally ill plan for and approach death on their own terms.



Berks and Bucks had awards for a number of excellent organisations. **Utulivu** provides a support service to the large local Kenyan community in Reading. In Buckinghamshire **Mothers4Mothers** delivers a counselling and support programme for mothers of sexually abused children, while **Wycombe MIND** is being assisted with the setting up costs of a new drop in centre.

The Committee also made a number of awards in the London area, reflecting its interests in young people and their needs. Amongst the beneficiaries was the highly recommended **Superkidz** project on the Ferrier Estate, Shooter’s Hill; to enable the project to replicate its model working with families to other estates in the Greenwich area. Also supported were **Linden Lodge Charitable Trust**, in South London, which provides piano lessons for children who are visually impaired and profoundly disabled; and the **Rugby Portobello Trust** which works with disaffected young people in West London. The Committee also took the opportunity to reinforce the success of the charity **Mediation in Divorce** with renewal of an earlier award towards a project assisting children in families facing break-up.

B D WHEELWRIGHT
DIRECTOR

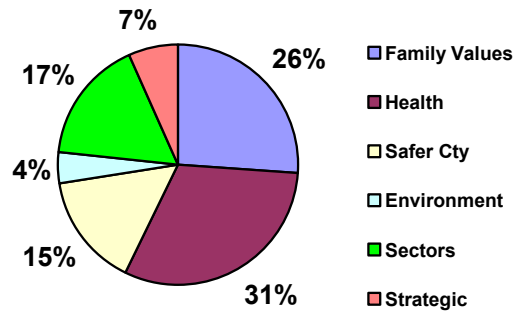
06 July 2010

AWARDS 2009-2010

£2,870,393 - 112 Awards

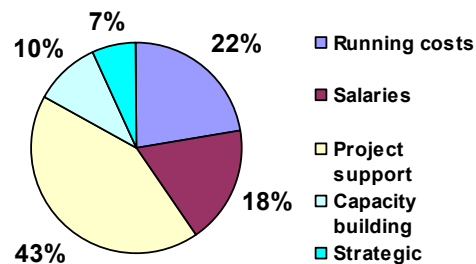
Programmes

	£	n
Building Family Values	747,941	36
Community Health	898,352	34
Safer Communities	432,697	16
The Environment	124,525	5
Strengthening Sectors	476,878	17
Strategic Projects	190,000	4
TOTAL		112



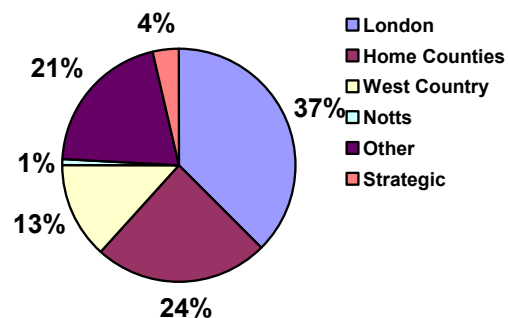
Awards Made by Type of Support

	£	n
Running Costs	643,936	27
Salaries	508,472	17
Project Support	1,233,992	52
Capacity Building	293,993	12
Strategic	190,000	4
TOTAL		112



New Awards by Location

	n
London	42
Home Counties	27
West Country	15
Nottinghamshire	1
Other	23
Strategic	4
TOTAL	112



Home Counties include: Oxfordshire, Berkshire, Buckinghamshire, Surrey and Sussex.

West Country includes: Gloucester, Bristol & Avon, Dorset and Somerset.

Other covers awards to work in prisons and that which has a national, multi-

Building Family Values

ARCh, Oxfordshire Towards core costs. Allan Wates Family Committee	£29,283
The Art Room, Oxfordshire Towards school art programmes. Allan Wates Family Committee	£30,000
Caboodle Theatre in Education, Beckenham Towards the Old Mother Earth project. Norman Wates Family Committee	£5,000
Catalyst Trust, London Towards a community mentoring project. Norman Wates Family Committee	£30,000
The Cathedral Church of the Holy Spirit, Guildford Towards a Community flower gala. Sir Ronald Wates Family Committee	£750
Chain Reaction, East London Towards a young people's mentoring project. Norman Wates Family Committee	£29,557
Charlton Advice Centre, South London Towards core costs. Norman Wates Family Committee	£5,000
Chichester Cathedral, Sussex Towards the Chichester Cathedral Restoration & Development Trust. Sir Ronald Wates Family Committee	£2,000
Christian Care Association, Mitcham Towards core costs. Norman Wates Family Committee	£4,350

Demos, UK Towards the Character project. Norman Wates Family Committee	£20,000
Deptford Reach, Deptford Towards core costs. Sir Ronald Wates Family Committee	£1,500
Dorset Reading Partners Towards core costs. Norman Wates Family Committee	£21,740
The 999 Club, Deptford Towards core costs. Sir Ronald Wates Family Committee	£2,500
FAME, Nottingham Towards core costs of a mediation service. Sir Ronald Wates Family Committee	£35,000
Farley's Yard, Heathfield, Surrey Towards a schools art exhibition. Sir Ronald Wates Family Committee	£5,000
Fundación Danilo Pérez, Panama Towards musical instruments for a youth education programme. Allan Wates Family Committee	£5,500
Holiday Venture Group, Mitcham Towards the costs of a summer camp for disadvantaged children. Norman Wates Family Committee	£1,500
Home-Start, Bristol Towards core funding from 2010. Allan Wates Family Committee	£40,000
Hope School, Kurche, Sudan Towards the costs of a classroom. Norman Wates Family Committee	£8,667

IntoUniversity, UK	£40,000
Towards the cost of an education worker. Norman Wates Family Committee	
Knowle West Media Centre, Bristol	£39,674
Towards the Hot House programme for young people not in education, employment or training. Sir Ronald Wates Family Committee	
London Chamber Orchestra Trust	£30,000
Towards a music-in-schools programme. Norman Wates Family Committee	
MiD Mediation & Counselling	£30,000
Towards the Family Bridges project. Allan Wates Family Committee	
Mothers4Mothers, Bucks	£30,000
Towards a programme for mothers of sexually abused children. Allan Wates Family Committee	
Noah's Ark, Gloucestershire	£39,000
Towards core costs including salaries. Allan Wates Family Committee	
Oxfordshire Parent Infant Project	£30,000
Towards core costs. Allan Wates Family Committee	
The Pirates Club, London	£29,475
Towards core costs including salaries. Sir Ronald Wates Family Committee	
Rugby Portobello, West London	£30,000
Towards core costs. Allan Wates Family Committee	
St George's (Hanworth) Youth Club	£10,000
Towards core costs. Norman Wates Family Committee	

Siobhan Davies Dance Centre, London Towards a youth dance project. Sir Ronald Wates Family Committee	£30,000
Slinfold CE. Primary School, Sussex Towards a bursary scheme. Sir Ronald Wates Family Committee	£5,000
SuperKidz, South London Towards a parenting and youth project. Allan Wates Family Committee	£29,000
The Friends of Baale Mane, Gopalapura, India Towards a building project at a girls' school. Sir Ronald Wates Family Committee	£23,445
Utiluvu, Reading Towards the salary of a part-time coordinator. Allan Wates Family Committee	£35,000
Vauxhall City Farm, South London Towards core costs and a scheme for disadvantaged youth. Allan Wates Family Committee	£20,000
Welcare East Surrey Towards the Merstham Playlink Project. Norman Wates Family Committee	£20,000

Community Health

Access Sport, UK Towards the development of sports clubs in the Olympics host boroughs. Sir Ronald Wates Family Committee	£30,000
Advocacy First, Bromley Towards a volunteer programme for the elderly in Bromley. Norman Wates Family Committee	£15,531

<p>Avon Riding for the Disabled, Bristol Towards the improvement of indoor facilities. Allan Wates Family Committee</p>	<p>£30,000</p>
<p>Axess Film, Sussex Towards the Axess Information Association. Sir Ronald Wates Family Committee</p>	<p>£250</p>
<p>Birth Companions, UK Towards supporting women and new mothers in HMP Holloway. Allan Wates Family Committee</p>	<p>£30,000</p>
<p>British Home & Hospital for Incurables Towards a Sensory Garden in the hospital grounds. Sir Ronald Wates Family Committee</p>	<p>£10,000</p>
<p>Cathja Project, Middlesex Towards salaries. Norman Wates Family Committee</p>	<p>£30,000</p>
<p>Children in Touch, Oxfordshire Towards core costs including salaries. Allan Wates Family Committee</p>	<p>£30,000</p>
<p>Combat Stress, Surrey Towards a research project looking at psychological injuries. Sir Ronald Wates Family Committee</p>	<p>£1,000</p>
<p>Cool2Care, Surrey Towards expansion of a service to support families with disabled children. Sir Ronald Wates Family Committee</p>	<p>£50,000</p>
<p>Croydon Carers Centre Towards core costs including salaries. Norman Wates Family Committee</p>	<p>£30,000</p>
<p>DownsEd, Portsmouth Towards a reading project for early years children with Down Syndrome. Sir Ronald Wates Family Committee</p>	<p>£39,717</p>

<p>Ebbisham Association, Surrey Towards a community mental health programme. Sir Ronald Wates Family Committee</p>	<p>£40,000</p>
<p>Elmwood Community Centre Towards core costs. Norman Wates Family Committee</p>	<p>£10,000</p>
<p>FORWARD, UK Towards the promotion of awareness about female genital mutilation. Sir Ronald Wates Family Committee</p>	<p>£50,000</p>
<p>Friends of Frank Barnes School for the Deaf Towards a Home Communication Programme. Norman Wates Family Committee</p>	<p>£9,512</p>
<p>Gardening Leave, UK Towards repairing and conserving the Stovehouse. Sir Ronald Wates Family Committee</p>	<p>£20,000</p>
<p>Ideal, Bristol Towards a drug awareness programme. Allan Wates Family Committee</p>	<p>£30,000</p>
<p>Jessie May Trust, Bristol Towards the core costs. Allan Wates Family Committee</p>	<p>£45,000</p>
<p>Kingston Bereavement Service Towards a bereavement service for children. Allan Wates Family Committee</p>	<p>£30,000</p>
<p>Linden Lodge Charitable Trust, South London Towards a music programme for children who are visually impaired and profoundly disabled. Allan Wates Family Committee</p>	<p>£5,000</p>
<p>Normandy Therapy Garden Towards core costs. Allan Wates Family Committee</p>	<p>£39,150</p>

Oakleaf Enterprise, Guildford Towards salaries. Allan Wates Family Committee	£30,000
Oxfordshire Befriending Network Towards the cost of a volunteer co-ordinator. Allan Wates Family Committee	£20,000
Princess Alice Hospice, Surrey Towards the cost of patient day care. Sir Ronald Wates Family Committee	£9,000
REACT, Greater London Towards work with terminally-ill children. Norman Wates Family Committee	£39,571
St Mark's Hospital Foundation, Harrow Towards a colon cancer surgery research project. Norman Wates Family Committee	£30,000
Step By Step, London Towards an activity programme for disabled children. Sir Ronald Wates Family Committee	£30,465
Sussex Association for Spina Bifida & Hydrocephalus Towards core costs. Sir Ronald Wates Family Committee	£30,000
Sydenham Garden Community Towards a horticultural therapy project. Norman Wates Family Committee	£40,000
Tate Modern, London Towards a community social support programme. Norman Wates Family Committee	£30,000
The Listening Centre, Oxfordshire Towards core costs of a community counselling service. Allan Wates Family Committee	£30,000

<p>Theodora Children’s Trust, UK Towards clown doctor visits for children in hospital. Sir Ronald Wates Family Committee</p>	<p>£4,156</p>
<p>Wycombe MIND, Bucks Towards core costs including a new drop in centre. Allan Wates Family Committee</p>	<p>£30,000</p>
<hr/>	
<p>Safer Communities</p>	
<p>Apex Charitable Trust, UK Towards core costs. Sir Ronald Wates Family Committee</p>	<p>£40,000</p>
<p>Ashiana Network, Leytonstone Towards a domestic abuse support programme for young people. Sir Ronald Wates Family Committee</p>	<p>£40,000</p>
<p>Concord Prison Trust, London & SE Towards accredited counselling skills courses in prisons. Allan Wates Family Committee</p>	<p>£30,000</p>
<p>Croydon Family Justice Centre Follow-on award towards the salary of a Health Worker’s salary. Trustees award</p>	<p>£20,000</p>
<p>Fairshares, Gloucestershire Towards core costs of the Time Bank project at Leyhill Prison. Allan Wates Family Committee</p>	<p>£37,638</p>
<p>Hackney Night Shelter, North London Towards the costs of a Link Worker. Norman Wates Family Committee</p>	<p>£30,000</p>
<p>HMP/YOI Downview Towards the Forgiveness project. Norman Wates Family Committee</p>	<p>£1,500</p>

One25, Bristol	£30,000
Towards the cost of a volunteer co-ordinator for work with prostitutes.	
Allan Wates Family Committee	
Start Up Now for Women	£15,000
Towards a programme supporting female offenders to become self-employed.	
Sir Ronald Wates Family Committee	
Step by Step Partnership, Surrey	£26,559
Towards an anger management project for homeless young females.	
Norman Wates Family Committee	
STOP Trafficking UK	£10,000
Towards core costs.	
Norman Wates Family Committee	
Surrey Job Match	£6,000
Towards core costs.	
Sir Ronald Wates Family Committee	
Time for Families, UK	£36,000
Towards work with offenders in HMPs High Down and Downview.	
Norman Wates Family Committee	
Watts Gallery, Surrey	£40,000
Towards art workshops for offenders and the homeless.	
Allan Wates Family Committee	
Wheels Project, Bristol	£40,000
Towards pilot programmes for offenders on release.	
Allan Wates Family Committee	
Working Chance, S. England	£30,000
Towards supporting women offenders into work on release.	
Sir Ronald Wates Family Committee	

Sustaining the Environment

<p>Community & Youth Music Library, UK Towards core costs. Norman Wates Family Committee</p>	£34,525
<p>London Playing Fields Association Towards the costs of a development officer. Sir Ronald Wates Family Committee</p>	£25,000
<p>The Otesha Project UK, London Towards a programme engaging young people in community projects. Norman Wates Family Committee</p>	£25,000
<p>St. Mary Le Bow Church, London Towards a restoration appeal. Norman Wates Family Committee</p>	£10,000
<p>10:10, UK Towards a national carbon reduction campaign. Sir Ronald Wates Family Committee</p>	£30,000

Strengthening the Charitable & Voluntary Sectors

<p>Art for Youth, UK Towards an annual award for new artists. Sir Ronald Wates Family Committee</p>	£5,000
<p>Big Issue Invest, UK Towards core costs. Allan Wates Family Committee</p>	£40,000
<p>Calthorpe Project, King's Cross Towards the refurbishment and fitting out of a community centre building. Sir Ronald Wates Family Committee</p>	£25,000
<p>Cranfield Trust Towards the costs of support to beneficiaries of Foundation awards or other organisations assessed as benefiting from management support Trustees' award</p>	£15,000

<p>Cotteslowe Community Association, Oxfordshire Towards core funding for two salaries. Allan Wates Family Committee</p>	<p>£20,000</p>
<p>Ealing Resource Centre Towards core costs including salaries. Sir Ronald Wates Family Committee</p>	<p>£30,000</p>
<p>Lambeth Voluntary Action Towards the costs of a Capacity Building Officer. Sir Ronald Wates Family Committee</p>	<p>£39,997</p>
<p>Leatherhead Theatre Towards an Education and Community Project. Sir Ronald Wates Family Committee</p>	<p>£40,000</p>
<p>London Citizens Towards core costs. Norman Wates Family Committee</p>	<p>£40,000</p>
<p>Montage Theatre Arts, South London Towards new capacity building staff posts. Norman Wates Family Committee</p>	<p>£40,000</p>
<p>Rayne Foundation Towards the costs of a scoping study of a Faith Media Centre. Norman Wates Family Committee</p>	<p>£4,000</p>
<p>Scouts in Dorset Towards the salary of a development officer. Norman Wates Family Committee</p>	<p>£30,000</p>
<p>Small Charities Coalition, UK Towards start-up funding. Sir Ronald Wates Family Committee</p>	<p>£36,000</p>
<p>Social Spider, UK Towards the core costs. Norman Wates Family Committee</p>	<p>£30,000</p>

Southall Black Sisters Trust Towards capacity building. Norman Wates Family Committee	£40,000
Volunteer Reading Help Towards capacity building of services. Norman Wates Family Committee	£38,281
Young Foundation Towards follow-on research work on the Blackbird Leys Estate. Allan Wates Family Committee	£3,600

Strategic Programme 2009-2010

The Howard League for Penal Reform, UK Towards the Nutting Commission inquiry into former Armed Forces personnel in prison.	£20,000
Prison Radio Association Towards the costs of establishing a national radio service in prisons.	£50,000
Royal Society of Arts Towards the costs of a user-focused substance abuse services trial in West Sussex.	£70,000
Women's Diversionary Fund Towards the matching of Ministry of Justice funds by the Corston Independent Funders Coalition.	£50,000

Statement from the trustees

These summarised financial statements contain information from both the Statement of Financial Activities and the Balance Sheet for the year ended 31 March 2010, but are not the full statutory report and accounts. The full financial statements were approved by the Trustees on 06 July 2010 and subsequently submitted to the Charity Commission.

The auditor has issued an unmodified report on the full financial statements and on the consistency of the Trustees' Annual Report with those financial statements.

Copies of the full accounts including the Trustees' Annual Report may be obtained from the charity's head office.

Signed on behalf of the trustees

John N Wates

Chairman

Date 06 July 2010

Independent auditors' statement to the trustee of The Wates Foundation

We have examined the summary financial statement for the year ended 31 March 2010, which comprises the statement of financial activities and the balance sheet.

Respective responsibilities of trustees and the auditors

The trustee is responsible for preparing the summary financial statement in accordance with applicable United Kingdom law and the recommendations of the charities SORP.

Our responsibility is to report to you our opinion on the consistency of the summary financial statement within the annual report and with the full annual financial statements and the Report of the Trustee.

We also read the other information contained in the annual report and consider the implications for our report if we become aware of any apparent misstatements or material inconsistencies with the summary financial statement. The other information comprises only the Report of the Trustee, the Chairman's report, Awards 2009-2010 and Guidance for Applicants.

Basis of opinion

We conducted our work in accordance with Bulletin 2008/3 issued by the Auditing Practices Board. Our report on the charity's full annual financial statements describes the basis of our opinion on those financial statements and on the Report of the Trustee.

Opinion

In our opinion the summary financial statement is consistent with the full annual financial statements and the Report of the Trustee of The Wates Foundation for the year ended 31 March 2010.

We have not considered the effects of any events between the date on which we signed our report on the full annual financial statements on [insert date] and the date of this statement.

Sayer Vincent

Chartered accountants and registered auditors

LONDON

Date 06 July 2010

For the year ended 31 March 2010

	2010	2009
	Total	Total
	£	£
Incoming resources		
Incoming resources from generated funds		
Investment income	158,871	417,821
Bank interest	<u>751</u>	<u>7,087</u>
Total incoming resources	<u>159,622</u>	<u>424,908</u>
Resources expended		
<i>Costs of generating funds</i>		
Investment management costs	81,310	21,129
<i>Charitable activities</i>		
Community development	247,078	349,810
Community support	208,046	692,762
Foundations of society	739,568	1,127,615
Support to ethnic communities	109,056	286,191
Strategic programmes	364,073	385,019
Building family values	510,481	55,299
Community health	570,953	48,902
Safer communities	290,778	60,454
Sustaining the environment	59,907	-
Strengthening the sectors	<u>264,369</u>	<u>14,367</u>
<i>Governance</i>	<u>14,734</u>	<u>14,094</u>
Total resources expended	3,460,353	3,055,642
Net outgoing resources before other recognised gains and losses	(3,300,731)	(2,630,734)
Other gains and losses		
Net loss on investment assets	<u>3,627,831</u>	<u>(9,528,335)</u>
Net movement in funds	327,100	(12,159,069)
Reconciliation of funds		
Funds at the start of the year	<u>20,090,570</u>	<u>32,249,639</u>
Funds at the end of the year	<u>20,417,670</u>	<u>20,090,570</u>

	2010	2009
£	£	£
Fixed assets		
Investments	17,859,985	18,760,377
Programme related investments	500,000	500,000
Other investments	<u>1,202,133</u>	<u>892,326</u>
	19,562,118	20,152,703
Current assets		
Debtors	30,503	26,567
Cash at bank and in hand	955,302	104,722
	985,805	131,289
Creditors: amounts due within one year	130,253	193,422
Net current assets/(liabilities)	<u>855,552</u>	<u>(62,133)</u>
Net assets	<u>20,417,670</u>	<u>20,090,570</u>
Funds		
Unrestricted funds		
Trust Fund	<u>20,417,670</u>	<u>20,090,570</u>
Total funds	<u>20,417,670</u>	<u>20,090,570</u>

Approved by the Trustee on 06 July 2010 and signed on its behalf by

John N Wates

Chairman

All of the above results are derived from continuing activities. All activities are treated as unrestricted. There were no other recognised gains or losses other than those stated above. Movements in funds are disclosed above.

The statement of financial activities includes the income generated by the assets held in the investment portfolio and the net gains on those investments. However, the Wates Foundation operates a total return policy on investments and the figures in the statement of financial activities do not reflect the distributions from the portfolio to fund the activities of the Foundation.

GUIDANCE FOR APPLICANTS 2010

wates
foundation

General Information

The Foundation aims to alleviate conditions of distress, deprivation and disadvantage that lead to social exclusion by funding charitable work across a broad range of social priorities that will bring about positive change. The Foundation's current priorities are summarised in our Programmes.

Organisations supported by the Foundation tend to share these features:

- There is a clear sense of objectives and how to achieve them.
- The work is about providing solutions to problems, not about making them more bearable.
- An award has a good chance of making a difference to the organisation and to its clients and having an impact in the longer term.
- The work may be a new imaginative approach, something in a new area of need, or something that is risky or sensitive.
- Every effort is made to comply with statutory requirements such as the Statement of Recommended Practice: Accounting and Reporting by Charities 2005.
- Recognised quality assurance and accreditation schemes and training for trustees and staff are used as tools to improve the organisation's effectiveness.

Area of Benefit

The Foundation makes its awards in the following areas of England:

Counties of Berkshire; Bristol, Avon & Somerset; Buckinghamshire; Cambridgeshire; Dorset; Gloucestershire; Hampshire; Middlesex; Nottinghamshire; Oxfordshire; Surrey; Sussex; Warwickshire (not including the Greater Birmingham area); and the Greater London Metropolitan Area as defined by the M25 motorway.

Grants Programmes

Foundation awards are made to work in six programmes areas. Five of these have specific aims and priorities set by the Trustees. These are the criteria against which the Trustees assess the relevance and potential impact of outcomes that applicants propose to achieve with the help of a Foundation grant.

The sixth programme area is one in which the Trustees pro-actively sponsor work of a strategic nature.

The Applications Process

The Foundation has three Family Grants Committees that meet around June, October and February in the year.

Following the initial assessment process, applicants whose bids have not been rejected or deferred are invited to submit their bids to a particular grants meeting agenda. The two stage application process is explained further in the section **How to Apply**.

New applications will not be considered from previously successful applicants within 24 months of the end of the funded grant period.

Awards

Applications are accepted for awards made under our published programmes. Awards may be towards:

- A specific project or body of work.
- Core costs such as rent and non-project specific salaries. Infrastructure development and capacity building such as staff or management training.

Our awards are either one-off payments or an amount where the grant payments are spread over a number of years.

Levels of Awards

A one-off grant is unlikely to exceed **£15,000**.

Our periodic grants **range up to £30,000** depending on the nature of the project and the length of the award. For 2010, the maximum amount that our grants committees may award to applications coming via the Foundation's web site is **£30,000 over not less than two years**.

Bids in excess of these amounts will be rejected.

Length of Awards

The maximum period of support is three years.

Grants payable over a number of years may be subject to tapering instalments such that there is a maximum payment in one year with lower payments in other years.

FOUNDATIONS OF SOCIETY

Objective

Under the general theme Foundations of Society, the objective of The Wates Foundation grants programmes 2010 is to promote work that builds social values and responsibility and provides access to opportunities that address disadvantage.

Following this summary, each programme is explained in detail.

Building Family Values

Priorities

- Promoting the family unit
- Social and civic responsibilities
- Parenting
- Opportunities for children and young people 5-25 years of age

Community Health

Priorities

- Addiction
- Mental Health and Disabilities – the disabled
- Aged and Infirm
- Alternative and complementary practice

Safer Communities

Priorities

- Crime in Communities
- Alternatives to custody
- Preparing offenders for release
- Resettlement of offenders after release
- Female offenders

Sustaining the Environment

Priorities

- Changing life styles: education and training
- Alternative and complementary practice
- Conserving and sustaining the built and rural environments

Strengthening the Charitable & Voluntary Sectors

Priorities

- Capacity building of the Sector
 - Improving the effectiveness of organisations
 - Quality and Accreditation
-

STRATEGIC PROGRAMME

The Foundation does not accept responsive applications for this programme, but will consider expressions of interest from organisations that believe that they have a proposal that meets the guidelines that follow.

Strategic Aim

To initiate pro-actively or support jointly with partners from time to time work for the development, promotion or delivery of work that seeks to influence opinion, policy or practice in line with the Foundation's Vision for a better society.

Area of Benefit

Any work covered by the Foundation's mainstream projects or activity as defined from time to time by the Trustees.

Building Family Values

Aim

To promote and reinforce the role of the family unit as a safer more caring environment, fostering social and civic values, responsibility and behaviour, and encouraging the young to take up opportunities for education, training and employment.

Priorities

- Promoting the family unit
- Social and civic responsibilities
- Parenting schemes
- Opportunities for children and young people 5-25 years of age

Typical Activities

- Family advocacy and advice; training and mentoring schemes for parents
- Home Start-type work: families struggling to cope with children; support to single parent families
- Early years interventions
- Community volunteering
- Vocational training for young people, especially those not in education, employment or training (NEET)
- Social and civic education
- Support to children in care or adoption
- Domestic abuse
- Activity schemes for disadvantaged children
- Urban streets youth projects
- Literacy & out of school tuition

Likely Outcomes

- People are confident in their parenting skills
- Children are better prepared to meet transition points in their lives
- More people contribute actively to their communities
- Young people at risk stay or return to full time education
- More young people have access to training for employment
- Fewer young people at risk of anti-social behaviour, exclusion from education or lack of employment
- More volunteer support available to disadvantaged families

Community Health

Aim

To provide avenues for an improved quality of life for those people with addictions, those disadvantaged by reason of mental or physical disability and their carers and those who are living less healthy or active lives by virtue of age or infirmity.

Priorities

- Addiction
- Mental health
- Disabilities
- Aged and Infirm
- Alternative and complementary practice

Typical Activities

- Community-based substance abuse therapy and rehabilitation
- Volunteering and placement schemes
- Advocacy and advice for the disabled; schemes assisting the disabled into independent living
- Training, employment and access opportunities for the disabled
- Young carer schemes
- Promotion of healthy and active life styles for the aged and infirm
- Schemes promoting inclusion of the disabled in the community including public awareness, employment, education and leisure
- Schemes specifically for disabled ex-Service personnel including those with mental disabilities such as post traumatic stress syndrome and related substance abuse.
- Palliative care schemes
- Neo-natal intensive care
- Medical research
- Mental health

Likely Outcomes

- More people in addiction therapies
- More opportunities of all kinds for those disadvantaged by reason of mental or physical disability
- Improved well-being amongst older people over 70 years
- More people in independent living including the disabled and young carers
- More young carers able to access education, training and employment
- Better quality of life for long term adult carers
- More volunteers in community health work
- Improved well-being for ex-Service personnel in the community
- Safer communities

Sustaining the Environment

Aim

To promote understanding of the needs of the built and rural environments to encourage conservation of resources, reduction of waste and dissemination of practical models of environmental sustainability.

Priorities

- Changing life styles: education and training
- Alternative and complementary practice
- Conserving and sustaining the built and rural environments

Typical Activities

- Environment life style education and training
- Community green space conservation
- Community champion schemes
- Community volunteering
- Demonstrator or model projects
- Best practice schemes
- Horticultural therapy

Likely Outcomes

- More people with an understanding of the issues affecting their environment
- More community volunteering around environmental issues
- Development of practical schemes for resource conservation and waste reduction
- Preservation of the community environmental fabric

Strengthening the Charitable & Voluntary Sectors

Aim

To contribute to the performance of the charitable and voluntary sector and its value to society by improving the quality and compliance of its infrastructure and effectiveness of its service delivery.

Priorities

- Building the capacity of the Sector
- Improving the effectiveness of organisations
- Quality Marks and Accreditation

Typical Activities

- Small group development
- Volunteering opportunities
- Community organisation accountancy and financial management
- Accession to quality schemes
- Governance training
- Evaluation
- IT audit
- Mergers and collaboration

Likely Outcomes

- Organisations become more sustainable through effective fundraising
- Organisations have a better understanding of their own performance providing more effective service delivery through monitoring and evaluation
- Organisations have better skills, tools and processes for more enhanced performance delivery
- More accredited programmes and formally qualified staff
- Better governance through use of recognised quality standards
- Better financial management, knowledge and skills

APPLICATIONS NOT CONSIDERED

As a general rule we expect that applicants will have considered the Foundation's Guidance for Applicants on this web site. As our guidelines changed in 2009, reliance should not be placed on entries in guides to trusts and foundations published by organisations such as the Directory of Social Change or similar works of reference.

Our preferred format for initial approaches for funding is laid down in the section How to Apply. Applications that make no effort to meet this simple requirement will be rejected automatically.

We cannot fund:

- Organisations that are not registered or recognised as charities unless in the process of registering. Applications by regulated not-for-profit social enterprises may be considered.
- Work that is not legally charitable.
- Political parties, political lobbying or campaigning.
- Churches or other religious organisations where an award will be used for religious purposes.

We do not fund:

- Individuals for any purpose.
- Large, well-established or national charities.
- Organisations whose income in the year preceding an application exceeded **£700,000**.
- Statutory bodies including local authorities and their agencies.
- Grant-making bodies except through partnerships.
- Heritage, conservation or archival projects unless relevant to the urban or rural built environment.
- Capital projects.
- Conferences.
- Appeals of any kind including for disaster relief, sporting, social or other fund-raising events.
- Animal welfare organisations.
- Activity taking place overseas.
- Work delivered outside of the *Counties of Berkshire; Bristol, Avon & Somerset; Buckinghamshire; Cambridgeshire; Dorset; Gloucestershire; Hampshire; Middlesex; Nottinghamshire; Oxfordshire; Surrey; Sussex; Warwickshire (not including the Greater Birmingham area); and the Greater London Metropolitan Area as defined by the M25 motorway.*

Grants will not be made to:

- Work that is a public funding or statutory responsibility whether fulfilled or not.
 - Replace cuts in funding by statutory bodies where the Foundation becomes the largest single provider.
 - Top-up funding on under-priced contracts or other commissioned work.
 - Organisations where we become the largest single income provider.
-

How to Apply

What You Should Know

Applications to the Foundation are accepted at any time on a rolling basis. An acknowledgement can normally be expected within 14 working days.

We operate a two stage application process that should reduce the time that applicants may spend preparing material and allow us to give a response to the application in a reasonable time.

We have three Grants Committees that meet at different times in the year. You cannot apply to a specific Committee.

On average, 90% or more of requests are rejected before the second stage.

First Stage

We do not use an application form so that applicants are not constrained in promoting their case for an award. However, we do expect that you comply with our format. Applications that make no effort to meet this simple requirement will be rejected automatically. The basics:

- Initial applications should be of no more than **four A4 pages** (minimum font size Arial 11 point) addressing the questions below. These are designed to elicit sufficient information to explain your application without having to contact you.
- A budgetary breakdown may be attached additional to the four-page limit. Budgets covering more than one year should include elements for inflation. Salaries should identify NI costs and pensions where appropriate.
- Your application **must be accompanied by a signed copy of your latest Annual Report and Accounts which comply with Charity Commission requirements.**
- Additional publicity material including pamphlets, newspaper cuttings, Annual Reviews and DVDs will not be read.

Tell us:

- Who you are and what you do.
- About the work you want funded including beneficiaries, location and timescales.
- How much you need from us and over what period – one year, two years or three.
- Where any balance of funding is coming from – such as bids to other funders – and how you intend to fund the work when our award ends.
- How this work will make a difference to the people you seek to benefit.
- How you intend to monitor the work, measure its success and ensure its quality.
- How your work benefits the public in accordance with the requirements of the Charities Act 2006.

Your application **MUST** contain the following statement:

We are aware that supplying any deliberately false information or making any deliberately false statement may result in prosecution. To the best of our knowledge and belief, all statements made in this application and its associated documentation are true and accurate. I am authorised to sign on behalf of the organisation.

Name	<input type="text"/>	Position	<input type="text"/>
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What Happens Next

All requests for support are rigorously filtered. The assessment process includes financial and other due diligence checks to establish the authenticity of your application; this may involve a preliminary visit. Application to the second stage will only be invited after this process.

We send out a letter outlining the broad elements identified in the initial application together with the second stage application questions. You will have assembled much of the information to answer these as part of your initial approach to the Foundation. Where appropriate, the completed application questionnaire will be supported by a business or work plan and future funding strategy.

The process of preparing an application that goes to a Grants Committee, including arranging a visit to you by a member of the Committee, can take three months or more.

If Your Application is REJECTED

There is no time limit before unsuccessful applicants at any stage might re-apply. If requested we can provide feedback on why an application was unsuccessful. Before re-applying, however, a telephone call to the Foundation is always advisable.

Final Point

We have a small staff team. However, if you are in doubt about whether you are eligible under our guidelines, we are happy for potential applicants to ring us up and discuss funding opportunities or seek clarification. This often saves on unnecessary correspondence.

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GRANTS POLICIES Monitoring Arrangements

The Trustees of the Foundation make their awards to bring about positive change in the circumstances of an organisation and its staff or beneficiaries to achieve the objects in the Foundation's Mission Statement. The Foundation monitors the grants that it makes in order to assess their impact and provide lessons and experience for future grant making. Agreement to take part in the Foundation's monitoring process is a condition of all grants made. The Foundation seeks to apply monitoring in proportion to the level and type of award and the size and capacity of beneficiary organisations to participate in the monitoring process.

One-off Grants

One-off grants are paid soon after the Grants Committee meeting concerned. A short follow-up report may be requested. The report seeks to establish what impact the award has on the applicant organisation's situation, for example with improved service delivery, benefits to clients, income flow and stability or other factors as appropriate. There is no format for this type of report: a short letter suffices.

Periodic Grants

Periodic grants are made to achieve positive change over time, normally a period of three years. Potential change is usually specified as one or more outcomes that the applicant believes that it is able to achieve with the added resource of the Foundation's funds. Outcomes will be quantitative or qualitative, hard or soft and short or long-term. They will be measurable against targets, benchmarks, milestones or other identifiable achievements by the applicant organisation, its staff or clients.

Monitoring of the progress of a grant takes place at intervals throughout its life. Depending on the size of a grant, annual instalments may be paid at six-month or twelve-month intervals. Each payment is triggered on the receipt of a satisfactory monitoring report in the format of the Foundation's Grant Progress Report. Agreement to this arrangement is a condition of Foundation grants. A copy of the Progress Report questions is sent out with the Terms & Conditions of the award.

Amongst other things, the Progress Report seeks to establish the extent to which progress has been made towards achieving the outcomes originally stated by the applicant organisation. Assertions of progress will normally be supported by data such as inputs and outputs collected by the applicant organisation in the routine course of monitoring its own performance.

Evaluation

The Trustees of the Foundation are always pleased to see evaluations that have been commissioned by or on behalf of applicant organisations.

Performance Reviews

All Foundation periodic grants are treated as conditional liabilities. That is, payment of instalments of the award is conditional on applicants complying with the Terms & Conditions of the grant issued at the time the award is notified and on the Foundation achieving sufficient income during the relevant financial year to meet its liabilities.

Each year, normally in January or February, the Trustees of the Foundation carry out a review of the performance of every current grant and of those that have been completed in the financial year under review, taking action as appropriate. In March the Trustees review income receipts for the year under review and meet with the Foundation's investment manager to consider estimated income for the following year.

Terms & Conditions

1. The Trustees of the Wates Foundation make their grants for the charitable purposes set out in the letter of application, or as agreed and sometimes modified by the Foundation.
2. Organisations are required to acknowledge in writing receipt of payments and their agreement to the Terms & Conditions of the grant.
3. The Foundation's grants are either one-off awards or an award spread over a period of time. Both types of grant are often paid in instalments at set intervals. Where the grant is a contribution towards part or all of the cost of new staff, the Foundation requires: a job description; the name of the employee; and the starting date of employment before payment begins.
4. After the initial payment of a periodic award, or where a one-off award is made in more than one payment, further instalments are subject to review in the form of a progress report from the beneficiary organisation to trigger a subsequent instalment. Where reports are overdue by two months or more without agreement, the Foundation reserves the right to cancel or reclaim the grant on review.
5. A progress report must be accompanied at least once a year by up-to-date signed, audited or independently examined accounts or such accounts as are required by the Charities Act or Charities (Accounts and Reports) Regulations. Beneficiary organisations should acknowledge the support of The Wates Foundation in their Accounts and Annual Report. It is expected that a Foundation grant will be listed in Accounts under the heading **Unrestricted** or **Restricted** as appropriate.
6. The Foundation expects that beneficiary organisations will keep proper and up-to-date records showing how the grant is being used. The Foundation may request relevant financial records and progress reports for inspection at short notice.
7. Grants not taken up within two years of the date on which they are offered will normally be cancelled after review. The Foundation reserves the right to reclaim any grants or parts of a grant that have not been used for the purposes for which given. Organisations will be expected to repay grants if it is believed that they have acted fraudulently or negligently or are dissolved, wound up, disbanded, go into insolvent liquidation or otherwise cease to operate.
8. The information that the applicant organisation provides in relation to the application and in supporting documents and for the life of any grant awarded will be used to administer the award and for the Foundation's own research and learning purposes. We may give copies of this information to other organisations and agencies when assessing the application and monitoring the progress of work covered by an award and evaluating the work as part of our funding programmes. These organisations may include accountants, external evaluators and statutory agencies such as the Charity Commission. We may also share information with other public, corporate or independent funders providing funding towards the work or who have a legitimate interest in applications and grants, and for the prevention or detection of fraud. We might use the information provided for our own research, but recognise the need to maintain confidentiality of vulnerable groups whose details will not be made public in any way, except as required by law.

(The support of the Association of Charitable Foundations is acknowledged in the formulation of this guidance).

The Wates Foundation Logo

The Trustees do not pro-actively promote the work and achievements of the Foundation through the literature, works or events of other organisations.

The Trustees restrict acknowledgement of their awards to appropriate mentions in the Annual Report and Accounts of beneficiary organisations. Otherwise, the Trustees promote the Foundation by making its grant making programmes accessible and available through the Foundation's web site and by participation in Funder events run by accredited umbrella organisations.

Applications for use of the Foundation's logo by beneficiaries of Foundation awards are considered on a case by case basis. Requests are to be made in writing to the Director.

Contacting us

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Telephone: 01372 861251

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